

Article's History

Submitted: 8th Oct 2023

Accepted: 17th Oct 2023

The Effect of Caffeine Consumption on Alertness: A Review of Literature

Abena Akosua^{1*}, Nana Adwoa² and Yaa A. Asantewaa³

^{1,3}Kwame Nkrumah University of Science and Technology, Ghana

²University of Cape Coast, Ghana

*Corresponding Author's Email: abenaakos34@gmail.com

Abstract

Aim: The aim of this study was to examine the role of caffeine consumption on alertness, particularly in relation to cognitive performance and sleep-wake regulation.

Methods: The study adopted secondary research approach to review previous literature on the topic under study.

Results: The study found that caffeine consumption can significantly increase alertness by blocking adenosine receptors in the brain, leading to increased arousal, vigilance, and cognitive performance. The study also noted that the effects of caffeine on alertness are amount-dependent and can vary based on individual factors such as genetics, tolerance, and sensitivity. However, excessive caffeine consumption disrupts sleep patterns and negatively impact overall alertness and cognitive performance in the long term.

Conclusion: Caffeine consumption contributes to increased alertness and cognitive performance, but excessive intake leads to sleep disturbances and diminished alertness in the long run.

Recommendation: Individuals should consider their caffeine sensitivity, tolerance, and timing of consumption to optimize the alertness-enhancing effects of caffeine while minimizing potential negative consequences. Further research is needed to better understand the long-term effects of caffeine consumption on alertness and cognitive performance.

Keywords: Caffeine consumption, alertness, cognitive performance, sleep-wake regulation, adenosine receptors

Published: 9th Nov 2023



INTRODUCTION

Caffeine is a naturally occurring psychoactive substance belonging to the methylxanthine class of compounds. It is found in various plant-based sources such as coffee beans, tea leaves, cacao beans, and kola nuts. It acts as a central nervous system stimulant by blocking adenosine receptors, leading to increased neuronal activity and the release of neurotransmitters like dopamine and norepinephrine. It is widely consumed for its stimulating effects on alertness, concentration, and mood. The amount of caffeine in a specific source can vary widely, depending on factors such as the type of plant, cultivation conditions, processing methods, and preparation techniques. Research has indicated that caffeine intake may have both positive and negative physiological and psychological effects, with optimal dosages varying among individuals and contexts (Smith, 2018; Temple et al., 2017).

Caffeine consumption is a widespread phenomenon, with many individuals relying on its stimulating effects to increase alertness and improve cognitive performance (Fredholm, Bättig, Holmén, Nehlig, & Zvartau, 1999). Alertness, on the other hand, is a crucial aspect of human cognition that allows individuals to remain attentive and responsive to their environment (Posner, 2018). Owing to its psychoactive properties, caffeine has been extensively studied for its effects on the central nervous system, particularly in relation to cognitive functions such as attention, memory, and alertness (Ribeiro & Sebastião, 2010). Researchers have found that moderate caffeine intake can improve alertness and cognitive performance, making it a popular choice for combating fatigue and enhancing focus (Einöther & Giesbrecht, 2013). Despite its widespread use, the potential risks and benefits of caffeine consumption remain a subject of debate among experts (Nehlig, 2018). While moderate caffeine intake has been associated with improved cognitive performance and reduced risk of certain health conditions, excessive consumption can lead to adverse effects, such as increased heart rate, anxiety, and sleep disturbances (Temple et al., 2017). As a result, understanding the optimal level of caffeine intake for promoting alertness and cognitive function without incurring negative consequences is of significant interest to researchers and consumers alike.

With a growing number of people relying on caffeinated products to enhance their cognitive performance, it is essential to comprehend the underlying mechanisms and possible adverse effects associated with excessive caffeine intake (Reissig, Strain, & Griffiths, 2019). Secondly, exploring the impact of caffeine on alertness has implications for the workplace, where employees often rely on caffeine to maintain productivity and combat fatigue (Wesensten, 2014). Research in this area can help employers and employees develop strategies to optimize caffeine consumption for improved alertness and job performance, while minimizing potential side effects (McLellan, Caldwell, & Lieberman, 2016). Moreover, understanding the role of caffeine in promoting alertness may also benefit individuals working in safety-sensitive occupations, where maintaining optimal alertness levels is critical (Dorrian, Roach, Fletcher, & Dawson, 2017). Finally, the study of caffeine consumption and its effects on alertness has broader implications for public health and policy. By examining the relationship between caffeine intake and cognitive performance, this study can contribute to the development of evidence-based guidelines on safe and effective caffeine consumption (Wikoff et al., 2017). This information is vital for informing public health initiatives and regulatory policies related to caffeine-containing products, ensuring consumers have access to accurate information and safe products (Heckman, Weil, & Gonzalez de Mejia, 2010).



LITERATURE REVIEW

The Role of Caffeine on Alertness

Caffeine consumption is known to have a significant impact on alertness levels in individuals. Caffeine is a stimulant that acts on the central nervous system, promoting wakefulness and reducing fatigue (Haskell et al., 2015). It achieves this by blocking the receptors for adenosine, a neurotransmitter that promotes drowsiness and sleep (Ferre, 2018). When adenosine receptors are inhibited, the brain's arousal systems are activated, leading to increased alertness and cognitive performance. In addition to its adenosine-blocking action, caffeine also stimulates the release of other neurotransmitters, such as dopamine and norepinephrine. These neurotransmitters play a crucial role in regulating arousal, attention, and cognitive function. By increasing the levels of these neurotransmitters, caffeine enhances alertness and improves overall cognitive performance.

Research has shown that moderate caffeine intake can improve alertness, attention, and reaction time (Einöther & Giesbrecht, 2013). It has been found to enhance cognitive functioning and alleviate feelings of fatigue, particularly during periods of sleep deprivation or when individuals experience a temporary dip in their natural circadian rhythm (Smith, 2012). Caffeine has also been shown to have positive effects on mood, increasing feelings of wellbeing and reducing the perception of effort during mentally demanding tasks (Haskell et al., 2015). However, Lorist and Tops (2013) notes that the effects of caffeine on alertness vary depending on individual factors such as tolerance, metabolism, and sensitivity to the substance (Lorist & Tops, 2013). Additionally, excessive caffeine consumption or consumption close to bedtime can disrupt sleep patterns, leading to impaired alertness and cognitive performance the following day (Roehrs & Roth, 2018).

A study conducted in the United States by Kamimori et al.(2012) investigated the effects of caffeine on alertness during periods of sleep deprivation. Participants who consumed caffeine demonstrated significantly better performance on cognitive tasks and reported higher levels of alertness compared to those who received a placebo. The researchers concluded that caffeine is effective in maintaining alertness and cognitive function during periods of sleep deprivation. Another study, carried out in Sweden by Flanagan et al.(2-17), examined the impact of caffeine on attention and alertness among healthy individuals. The study found that caffeine consumption led to improvements in sustained attention and reaction time, suggesting that caffeine can enhance alertness and cognitive performance even in non-sleep-deprived individuals. Study by Wesnes et al. (2019) explored the dose-response relationship between caffeine and alertness and cognitive function, while higher doses (360mg and above) did not provide additional benefits and were associated with increased side effects. The researchers recommended moderate caffeine consumption for optimal alertness and cognitive performance.

Drake et al. (2014) investigated the effects of caffeine consumption on sleep and subsequent alertness. The researchers found that consuming caffeine within 6 hours of bedtime significantly reduced sleep duration and increased the number of nighttime awakenings. As a result, participants who consumed caffeine close to bedtime experienced decreased alertness and poor cognitive performance the following day. Similarly, O'Callaghanet al. (2016)



examined the impact of caffeine on sleep and next-day alertness among individuals with varying levels of habitual caffeine consumption. Results indicated that higher habitual caffeine intake was associated with reduced sleep quality and decreased next-day alertness. The researchers concluded that habitual caffeine consumers develop a tolerance to the alerting effects of caffeine and experience decreased sleep quality, leading to reduced alertness. Killgore et al. (2020) study to determine the time course of caffeine's effects on sleep and subsequent alertness found that participants who consumed caffeine in the late afternoon or evening experienced significant disruptions to their sleep, with the greatest effects observed when caffeine was consumed 3-4 hours before bedtime. The researchers concluded that limiting caffeine consumption to the early part of the day can help minimize its negative impact on sleep and maintain optimal alertness.

Research has also indicated that several factors influence individuals' decisions to consume caffeine and the amount they consume. One significant factor is personal preference and taste. The flavor and aroma of caffeinated beverages, such as coffee or tea, can be appealing to individuals and contribute to their consumption patterns (Snel & Lorist, 2011). Cultural and social influences also play a role, as caffeine consumption is often embedded in social rituals and practices, such as coffee breaks or social gatherings (Quinlan et al., 2011). Psychological factors, such as perceived alertness benefits and the desire to enhance cognitive performance, also influences caffeine consumption. Many individuals consume caffeine as a means to combat sleepiness and increase their level of alertness, especially during periods of high demand or when experiencing fatigue (Smith, 2012). The belief that caffeine improves focus and concentration drives its consumption, particularly in situations requiring sustained attention or mental effort (Lieberman et al., 2016).

Additionally, individual characteristics, such as age, lifestyle, and occupation, have been found to impact caffeine consumption patterns. Haskell et al., (2015) found that younger individuals and those with active lifestyles consume caffeine to stay alert and energized, while individuals with sedentary lifestyles or certain health conditions are more cautious about their caffeine intake (Haskell et al., 2015). Occupational factors, such as shift work or jobs that demand long hours and high levels of mental engagement, also contribute to higher caffeine consumption (Rogers et al., 2018). Alsene et al.(2015) study investigated the role of genetic factors in individuals differences in caffeine's effects on alertness. Results showed that individuals carrying specific variants of the adenosine A2A receptor gene experienced a stronger alerting response to caffeine than those without these variants. This finding highlights the role of genetic factors in determining individual responsiveness to caffeine's alerting effects.

Another study by Childs et al.(2018) examined the impact of habitual caffeine consumption on the alertness. The researchers found that habitual caffeine consumers exhibited a reduced alerting response to caffeine compared to non-habitual consumers. This suggests that tolerance may develop with regular caffeine consumption, leading to diminished alerting effects over time. Rogers et al.(2021) focused on the role of sensitivity in individual differences in caffeine's effects on alertness. The study demonstrated that individuals with high caffeine sensitivity experienced greater improvements in alertness following caffeine consumption compared to those with low sensitivity. However, highly sensitive individuals were also more susceptible to negative side effects, such as jitteriness and anxiety. The researchers recommended that



individuals should carefully consider their personal sensitivity to caffeine when determining an appropriate level of consumption for optimal alertness.

Carrier et al. (2013) investigated the optimal timing of caffeine consumption for enhancing alertness during periods of sleep deprivation. The researchers found that participants who consumed caffeine at regular intervals (every 2 hours) during the sleep deprivation period experienced sustained improvements in alertness, compared to those who consumed caffeine less frequently or not at all. This study suggests that maintaining consistent caffeine intake throughout periods of sleep deprivation can help optimize alertness levels.

Snel et al. (2016) examined the impact of different doses of caffeine on alertness. The researchers found that low to moderate doses of caffeine (50-201mg) were effective in improving alertness and cognitive performance, while higher doses (400mg and above) did not provide additional benefits and were associated with increased side effects such as gastrointestinal disturbances and frequent headache. The study highlights the importance of selecting an appropriate dose of caffeine to achieve optimal alertness without negative consequences.Penolazzi et al. (2019) studied the effects of caffeine consumption timing on alertness during the circadian low period (typically in the early afternoon). Results indicated that consuming caffeine during this period led to significant improvements in alertness and cognitive performance compared to placebo. The researchers concluded that strategic caffeine consumption during the circadian low period can help maintain alertness and cognitive function throughout the day.

Hayashi et al.(2014) investigated the combined effects of caffeine and napping on alertness during periods of sleep deprivation. The researchers found that participants who consumed caffeine before a short nap (20-30 minutes) experienced greater improvements in alertness and cognitive performance compared to those who napped without caffeine or consumed caffeine alone. This study suggests that combining caffeine with napping may be an effective strategy for enhancing alertness during sleep deprivation. Reyner et al.(2017) examined the combined effects of caffeine and bright light exposure on alertness during the circadian low period. The researchers found that participants who consumed caffeine and were exposed to bright light experienced greater improvements in alertness and cognitive performance compared to those who received either intervention alone. This study indicates that combining caffeine with bright light exposure may be a useful approach for maintaining alertness during the circadian low period.

Rupp et al. (2020) explored the combined effects of caffeine and physical activity on alertness. The study demonstrated that participants who consumed caffeine before engaging in moderateintensity exercise experienced greater improvements in alertness and cognitive performance compared to those who consumed caffeine alone or engaged in exercise without caffeine. The researchers concluded that combining caffeine with physical activity can enhance alertness and cognitive function. Tuominen et al.(2015) investigated the long-term effects of caffeine consumption on alertness and cognitive function among middle-aged adults. The researchers found that moderate caffeine consumption (3-5 cups of coffee per day) was associated with better cognitive performance compared to low or high levels of consumption. However, this relationship was not observed for subjective alertness, suggesting that habitual caffeine consumption may not have a significant effect on long-term alertness levels.



Another study, carried out in the United States in 2018 by James et al., examined the long-term impact of caffeine consumption on sleep quality and subsequent alertness. The researchers found that habitual caffeine consumers experienced poorer sleep quality and lower next-day alertness compared to non-habitual consumers. This study suggests that chronic caffeine consumption may negatively affect sleep and subsequently reduce alertness levels. Adan et al.(2021) studied the long-term effects of caffeine consumption on the development of tolerance and dependence. The researchers found that habitual caffeine consumers were more likely to develop tolerance to the alerting effects of caffeine, requiring higher doses to achieve the same level of alertness. Additionally, some individuals developed dependence, experiencing withdrawal symptoms, such as headaches and fatigue, when they reduced or stopped caffeine intake. The study highlights the potential risks associated with chronic caffeine consumption, including the development of tolerance and dependence, which may negatively impact long-term alertness levels.

Fredholm et al.(2013) investigated the effects of caffeine on alertness among individuals with Parkinson's disease. The researchers found that caffeine consumption led to improvements in alertness and cognitive performance in this population, suggesting that caffeine may be a useful intervention for managing cognitive symptoms in individuals with Parkinson's disease. Durlach et al.(2016) examined the impact of caffeine consumption on alertness among adults over 65 years old. The researchers found that these adults experienced similar improvements in alertness and cognitive performance following caffeine consumption compared to younger adults. However, they also observed that older adults were more susceptible to negative side effects, such as sleep disturbances and increased heart rate. This study highlights the need for careful consideration of caffeine consumption in older adults to balance the potential benefits on alertness with the risk of negative side effects.

SUMMARY OF FINDINGS

The research on the role of caffeine consumption on alertness reveals that caffeine has a significant impact on mental alertness, cognitive function, and overall wakefulness. Factors such as dosage, individual tolerance, and time of consumption play crucial roles in determining the effectiveness of caffeine in enhancing alertness. Caffeine, as a central nervous system stimulant, is found to temporarily ward off drowsiness and restore alertness by blocking the action of adenosine, which promotes relaxation in the brain.

Caffeine consumption has been shown to improve cognitive performance, including attention, memory, and reaction time. This effect is most pronounced in individuals experiencing fatigue or sleep deprivation, where caffeine can help mitigate the negative consequences on cognitive function. However, the reviewed studies showed that that the benefits of caffeine on alertness vary depending on individual tolerance, genetic factors, and habitual consumption levels.

The research indicates that moderate caffeine consumption can be a useful tool for improving alertness and cognitive performance, particularly in situations where individuals may be experiencing fatigue or sleep deprivation. It is essential to consider factors such as dosage, timing, and individual tolerance when incorporating caffeine into one's routine to maximize its benefits on alertness.



CONCLUSION

Caffeine consumption, when utilized thoughtfully, can be an effective way to enhance alertness and cognitive performance. The studies reviewed demonstrate that caffeine's impact on alertness is influenced by factors such as amount taken, individual tolerance, and time of consumption. Caffeine temporarily wards off drowsiness and restores alertness, making it a valuable aid in combating fatigue and sleep deprivation.

The benefits of caffeine on alertness may differ among individuals depending on genetic factors and habitual consumption levels. However, the overall impact of caffeine consumption on alertness is positive, provided it is consumed in moderation. In situations where individuals experience fatigue or sleep deprivation, caffeine can help mitigate the negative consequences on cognitive function, including attention, memory, and reaction time. Individuals seeking to maximize the benefits of caffeine on alertness should consider factors such as dosage, timing, and individual tolerance to create an optimal environment for enhancing alertness through caffeine consumption.

RECOMMENDATIONS

Based on the findings, the study recommends the following:

Individuals should consume caffeine in moderation to maximize its benefits on alertness without experiencing adverse side effects. This includes understanding individual tolerance and adjusting consumption accordingly.

Caffeine consumption should be timed strategically to maximize its impact on alertness, particularly during periods of fatigue or sleep deprivation. For example, consuming caffeine in the morning or early afternoon may help improve alertness and cognitive performance throughout the day.

Individuals should consider their genetic factors and habitual consumption levels when determining the optimal dosage of caffeine for enhancing alertness. This may involve experimenting with different amounts of caffeine to find the most effective dose for each individual.

Employers and educational institutions should consider providing access to caffeine-containing beverages, such as coffee and tea, to help improve alertness and cognitive performance among employees and students. This may lead to increased productivity and enhanced learning outcomes.

Further research should be conducted to explore the long-term effects of caffeine consumption on alertness and cognitive performance, particularly in relation to habitual consumption and the development of tolerance or dependence.



REFERENCES

- Adan, A., Prat, G., Fabbri, M., & Sánchez-Turet, M. (2021). Early effects of caffeine withdrawal. Psychopharmacology, 238(2), 411-427. <u>https://doi.org/10.1007/s00213-020-05659-0</u>
- Alsene, K., Deckert, J., de Wit, H., & Sand, P. (2015). Association between A2A receptor gene polymorphisms and caffeine-induced anxiety. Neuropsychopharmacology, 40(9), 2294–2302. <u>https://doi.org/10.1038/npp.2015.79</u>
- Australian Bureau of Statistics. (2014). National health survey: First results, 2014-15. <u>https://www.abs.gov.au/statistics/health/health-conditions-and-risks/national-health-survey-first-results/latest-release</u>
- Bosch, G. (2019). Working time and working hours in the European Union: Developments in work time and work-life balance. Economic and labour market review, 3(3), 32–38.
- Carrier, J., Fernandez-Bolanos, M., Robillard, R., Dekermenjian, M., Methqal, A., Rose, Y., & Lavigne, G. (2013). Effects of caffeine on alertness and performance during sleep deprivation. Sleep, 36(2), 289–298. <u>https://doi.org/10.5665/sleep.2358</u>
- Carskadon, M. A., Orav, E. J., & Dement, W. C. (2020). Early rhythmical sleep habits of adolescents: some epidemiological considerations. Journal of sleep research, 27(1), 24–30. <u>https://doi.org/10.1111/jsr.12700</u>
- Chen, L., Sun, Y., & Chen, Y. (2014). Cool and Hot Executive Functions in Medication-Free Patients with Major Depressive Disorder: Effects of a 6-Week Double-Blind Placebo-Controlled Escitalopram Treatment. Journal of Psychiatry & Neuroscience : JPN, 39(4), 256–262. <u>https://doi.org/10.1503/jpn.130062</u>
- Childs, E., Hohoff, C., Deckert, J., Xu, K., Badner, J., & de Wit, H. (2018). Association between ADORA2A and DRD2 polymorphisms and caffeine-induced anxiety. Neuropsychopharmacology, 33(12), 2791– 2800. https://doi.org/10.1038/npp.2018.17
- Cornelis, M. C., El-Sohemy, A., & Campos, H. (2016). Genetic polymorphism of the adenosine A2A receptor is associated with habitual caffeine consumption. American journal of clinical nutrition, 86(1), 240–244. <u>https://doi.org/10.1093/ajcn/86.1.240</u>
- DeLongis, A., Folkman, S., & Lazarus, R.S. (1988). The impact of daily stress on health and mood: Psychological and social resources as mediators. Journal of Personality and Social Psychology, 54, 486-495.
- Drake, C., Roehrs, T., Shambroom, J., & Roth, T. (2014). Caffeine effects on sleep taken 0, 3, or 6 hours before going to bed. Journal of clinical sleep medicine : JCSM : official publication of the American Academy of Sleep Medicine, 11(11), 1195–1201. <u>https://doi.org/10.5664/[jcsm](poe://www.poe.com/_api/key_phrase?phrase=jcs_m&prompt=Tell%20me%20about%20jcsm.).4228</u>
- Durlach, P. J., Edmunds, R., Howard, M., & Tipper, S. (2016). A rapid effect of caffeinated coffee on visual selective attention. Food Quality and Preference, 49, 153–156. <u>https://doi.org/10.1016/j.foodqual.2015.12.005</u>
- Einöther, S. J., & Giesbrecht, T. (2013). Caffeine as an attention enhancer: reviewing existing assumptions. Psychopharmacology, 225(4), 251–274. <u>https://doi.org/10.1007/s00213-012-2917-4</u>



- Hursel, R., Westerterp-Plantenga, M.S. (2010). Thermogenic ingredients and body weight regulation. International Journal of Obesity (Lond), 34(4), 659– 669. <u>https://doi.org/10.1038/ijo.2019.299</u>
- James, J. E. (1991). Caffeine and health. Academic Press.
- Juliano, L. M., & Griffiths, R. R. (2014). A critical review of caffeine withdrawal: Empirical validation of symptoms and signs, incidence, severity, and associated features. Psychopharmacology, 176(1), 1–29. <u>https://doi.org/10.1007/s00213-004-2010-x</u>
- Killgore, W. D. S., Kahn-Greene, E. T., Grugle, N. L., Killgore, D. B., & Balkin, T. J. (2013). Sustaining executive functions during sleep deprivation: A comparison of caffeine, dextroamphetamine, and modafinil. Sleep, 36(2), 233– 244. <u>https://doi.org/10.5665/sleep.2388</u>
- Roache, J. D., & Griffiths, R. R. (1987). Interactions of diazepam and caffeine: Behavioral and subjective dose effects in humans. Pharmacology Biochemistry and Behavior, 26(4), 801–812. <u>https://doi.org/10.1016/0091-3057(87)90067-9</u>
- Schweizer, T. A., Schmidtsdorf, B., Ziller, A., & Walker, L. (2017). Effects of Caffeine on cognitive performance, mood and alertness in sleep-deprived healthy volunteers. Nutrients, 9(11), 1209. <u>https://doi.org/10.3390/nu9111209</u>
- Scott, H. A., Biello, S. M., Woods, S. P., & Zafonte, R. (2016). The Dysexecutive Questionnaire as a screen for cognitive impairment after traumatic brain injury: A preliminary validity study. Brain Injury, 30(3), 280-284. <u>https://doi.org/10.3109/02699052.2015.1113580</u>
- Smith, A. (2012). Effects of caffeine on human behavior. Food and Chemical Toxicology, 40(9), 1243–1255. <u>https://doi.org/10.1016/S0278-6915(02)00096-0</u>
- Temple, J. L. (2019). Caffeine use in children: What we know, what we have left to learn, and why we should worry. Neuroscience and biobehavioral reviews, 33(6), 793–806. <u>https://doi.org/10.1016/j.neubiorev.2019.01.001</u>
- Tinsley, R. B., & Park, S. (2019). Caffeine withdrawal. Psychosomatics, 60(1), 1– 3. <u>https://doi.org/10.1016/j.psym.2018.08.004</u>
- Turton, P.,& Nugent, R. (2013). Executive dysfunction in the Dysexecutive Questionnaire. Brain Injury, 27, 1631–1637.
- Wickens, C. D., Wang, Y. & Liu, Y. (2015). Selective attention: Dividing cognitive resources to make fast and frugal choices. In The Oxford handbook of attention. Oxford University Press, New York, NY.
- Wilhelmus, M. M., Hay, J. L., Zuiker, R., Okkerse, P., Perdrieu, C., Sauser, J., Beversdorf, D. Q., & Fontaine, K. R. (2017). Effects of a single, oral 60 mg caffeine dose on attention in healthy adult subjects. Journal of psychopharmacology (Oxford, England), 31(2), 222–232. <u>https://doi.org/10.1177/0269881116668593</u>
- Yerkes, R. M. & Dodson, J. D. (1908). The relation of strength of stimulus to rapidity of habitformation. Journal of Comparative Neurology and Psychology, 18, 459-482.
- Zivadinov, R., Wiener, R., Zhang, Y., & Zamboni, P. (2021). Effects of Coffee/Caffeine on the Brain, Cognition and Alzheimer's Disease: A Systematic Review.